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NOTICE OF ALLOWANCE AND FEE(S) DUE

36327

7590

02/11/2009

AGENSYS C/O MORRISON & FOERSTER LLP 12531 HIGH BLUFF DRIVE SUITE 100 SAN DIEGO, CA 92130-2040 EXAMINER

BLANCHARD, DAVID J

ART UNIT PAPER NUMBER

1643

DATE MAILED: 02/11/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/087,190 | 02/28/2002 | Pia M. Challita-Eid | 511582003420 | 7796 |

TITLE OF INVENTION: NUCLEIC ACID AND CORRESPONDING PROTEIN ENTITLED 121P1F1 USEFUL IN TREATMENT AND DETECTION OF CANCER

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 05/11/2009 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

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B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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| AGENSYS C/C 12531 HIGH BLU SUITE 100 | UFF DRIVE | 72009 FOERSTER LLP | | | Cert | ificate | of Mailing or Transı) Transmittal is being licient postage for firs ISSUE FEE address 1) 273-2885, on the da | nission | |
| SAN DIEGO, CA | X 92130-2040 | | | | | | | | (Depositor's name) |
| | | | | | | | | | (Signature) |
| | | | L | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | FIRST NAMED INVENTOR | | | ATTORNEY DOCKET NO. | | CONFI | RMATION NO. |
| 10/087,190 | 02/28/2002 | | Pia M. Challita-Eid | | | 5 | 511582003420 | | 7796 |
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| nonprovisional | YES | \$755 | \$300 | | \$0 | | \$1055 | • | 05/11/2009 |
| EXAMI | NER | ART UNIT | CLASS-SUBCLASS | | | | | | |
| BLANCHARD, DAVID J | | 1643 | 536-023500 | | | | | | |
| FR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON PLEASE NOTE: Unless an assignee is identified below, no assignee | | | (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. THE PATENT (print or type) e data will appear on the patent. If an assignee is identified below, the document has been filed for our a substitute for filing an assignment. | | | | | | |
| (A) NAME OF ASSIG | NEE | categories (will not be pr | (B) RESIDENCE: (Cl | ITY a _ | nd STATE OR Co | OUNT | RY) | | _ |
| a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies | | | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). | | | | | | |
| Change in Entity State | , | · · · · · · · · · · · · · · · · · · · | ☐ b. Applicant is no | 1 | a alaimina CMAT | I ENT | NTV status Cos 27 CI | D 1 27/~ | -)(2) |
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| his collection of informa n application. Confidenti ibmitting the completed is form and/or suggestic to 1450, Alexandria, Vi | rginia 22313-1450. DO | FR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary den, should be sent to the NOT SEND FEES OR C | on is required to obtain 1.14. This collection is depending upon the ir c Chief Information Of COMPLETED FORMS | or reta estim divid ficer, | ain a benefit by th nated to take 12 m ual case. Any con U.S. Patent and T THIS ADDRESS. | ne publ ninutes mments Fradem SENI | ic which is to file (and to complete, includin s on the amount of tin ark Office, U.S. Depa of TO: Commissioner f | by the Ug gathering you re you re or truent of or Patent | SPTO to process) ng, preparing, and quire to complete f Commerce, P.O. ts, P.O. Box 1450, |

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| 36327 75 | 90 02/11/2009 | EXAMINER | | | | |
| AGENSYS C/O | MORRISON & FOE | BLANCHARD, DAVID J | | | | |
| 12531 HIGH BLU | FF DRIVE | ART UNIT | PAPER NUMBER | | | |
| SUITE 100 SAN DIEGO, CA 92130-2040 | | | 1643 DATE MAILED: 02/11/200 | 9 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 114 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 114 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

| | Application No. | Applicant(s) | | |
|---|--|---|--|--|
| | 10/087,190 | CHALLITA-EID ET AL. | | |
| Notice of Allowability | Examiner | Art Unit | | |
| | Dovid I Planshard | 1642 | | |
| | David J. Blanchard | 1643 | | |
| The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED i or other appropriate comm IGHTS. This application is | n this application. If not included unication will be mailed in due course. THIS | | |
| 1. This communication is responsive to <u>26 January 2009</u> . | | | | |
| 2. X The allowed claim(s) is/are 83 and 111-115 (renumbered a | as claims 1-6 <u>)</u> . | | | |
| 3. ☐ Acknowledgment is made of a claim for foreign priority una a) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☐ Certified copies of the priority documents have | e been received. e been received in Application | on No | | |
| 3. Copies of the certified copies of the priority do | cuments have been receive | d in this national stage application from the | | |
| International Bureau (PCT Rule 17.2(a)). * Certified copies not received: | | | | |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. | | e a reply complying with the requirements | | |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subminformal patent application (PTO-152) which give | | | | |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus | st be submitted. | | | |
| (a) including changes required by the Notice of Draftspers | son's Patent Drawing Revie | w (PTO-948) attached | | |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date | | | | |
| (b) ☐ including changes required by the attached Examiner'Paper No./Mail Date | s Amendment / Comment o | r in the Office action of | | |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t | | | | |
| 6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT | | | | |
| | | | | |
| Attachment(s) 1. ☐ Notice of References Cited (PTO-892) | 5. ☐ Notice of Ir | nformal Patent Application | | |
| 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948) | <u> </u> | ummary (PTO-413), | | |
| 3. ☐ Information Disclosure Statements (PTO/SB/08), | Paper No. | /Mail Date ^ Amendment/Comment | | |
| Paper No./Mail Date 4. Examiner's Comment Regarding Requirement for Deposit | | Statement of Reasons for Allowance | | |
| of Biological Material | 9. | | | |
| /David J Blanchard/ | | | | |
| Primary Examiner, Art Unit 1643 | | | | |
| | | | | |

Application/Control Number: 10/087,190

Art Unit: 1643

EXAMINER'S AMENDMENT

Page 2

1. An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Amy A. Mills on 05 February 2009.

The claims are amended as follows:

112. (currently amended): [[A]] <u>An isolated</u> viral vector that comprises the nucleic acid sequence of claim 83.

113. (currently amended): The <u>isolated</u> viral vector of claim 112, wherein the viral vector encodes <u>is</u> a vaccinia <u>vector</u>, <u>a</u> fowlpox <u>vector</u>, <u>a</u> canarypox <u>vector</u>, <u>an</u> adenovirus <u>vector</u>, <u>an</u> influenza <u>vector</u>, <u>a</u> poliovirus <u>vector</u>, <u>an</u> adeno-associated virus <u>vector</u>, <u>a</u> lentivirus vector, or a Sindbis sindbis virus vector.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David J. Blanchard whose telephone number is (571) 272-0827. The examiner can normally be reached at Monday through Friday from 8:00 AM to 6:00 PM, with alternate Fridays off. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Larry Helms, can be reached at (571) 272-0832.

The official fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

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Art Unit: 1643

you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/David J. Blanchard/ Primary Examiner, A.U. 1643